SEC Form 4

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person[*]	2. Issuer Name **and** Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)

1. Name and Address of Reporting Person[*]

Volovic Gregory S

(Last)   (First)   (Middle)

990 FAWN VIEW DRIVE

(Street)

CARMEL   IN   46032

(City)   (State)   (Zip)

2. Issuer Name **and** Ticker or Trading Symbol

HURCO COMPANIES INC [HURC]

3. Date of Earliest Transaction (Month/Day/Year)
09/25/2017

4. If Amendment, Date of Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer (Check all applicable)

	Director		10% Owner
X	Officer (give title below)		Other (specify below)

President

6. Individual or Joint/Group Filing (Check Applicable Line)

X Form filed by One Reporting Person

Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	09/25/2017		M		6,000	A	$14.82	34,183	D	
Common Stock	09/25/2017		M		4,000	A	$18.13	38,183	D	
Common Stock	09/25/2017		S		1,275	D	$38.6	36,908	D	
Common Stock	09/25/2017		S		525	D	$38.65	36,383	D	
Common Stock	09/25/2017		S		343	D	$38.7	36,040	D	
Common Stock	09/25/2017		S		300	D	$38.75	35,740	D	
Common Stock	09/25/2017		S		748	D	$38.8	34,992	D	
Common Stock	09/25/2017		S		1,047	D	$38.85	33,945	D	
Common Stock	09/25/2017		S		700	D	$38.9	33,245	D	
Common Stock	09/25/2017		S		215	D	$38.95	33,030	D	
Common Stock	09/25/2017		S		199	D	$39	32,831	D	
Common Stock	09/25/2017		S		10	D	$39.05	32,821	D	
Common Stock	09/25/2017		S		100	D	$39.1	32,721	D	
Common Stock	09/25/2017		S		95	D	$39.15	32,626	D	
Common Stock	09/25/2017		S		5	D	$39.2	32,621	D	
Common Stock	09/25/2017		S		150	D	$39.25	32,471	D	
Common Stock	09/25/2017		S		350	D	$39.3	32,121	D	
Common Stock	09/25/2017		S		100	D	$39.35	32,021	D	
Common Stock	09/25/2017		S		200	D	$39.4	31,821	D	
Common Stock	09/25/2017		S		603	D	$39.5	31,218	D	
Common Stock	09/25/2017		S		150	D	$39.55	31,068	D	
Common Stock	09/25/2017		S		200	D	$39.65	30,868	D	
Common Stock	09/25/2017		S		147	D	$39.7	30,721	D	
Common Stock	09/25/2017		S		1,600	D	$39.75	29,121	D	
Common Stock	09/25/2017		S		100	D	$39.8	29,021	D	
Common Stock	09/25/2017		S		838	D	$39.85	28,183	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

Table II — Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8) Code	V	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) (A)	(D)	6. Date Exercisable and Expiration Date (Month/Day/Year) Date Exercisable	Expiration Date	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) Title	Amount or Number of Shares	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
Stock Option (right to buy)	$14.82	09/25/2017		M			6,000	(1)	12/18/2019	Common Stock	6,000	$0	0	D	
Stock Option (right to buy)	$18.13	09/25/2017		M			4,000	(2)	05/13/2020	Common Stock	4,000	$0	0	D	

Explanation of Responses:

1. These stock options vested and became exercisable over a three-year period, with one-third (1/3) of the total 6,000 options granted exercisable on each of 12/18/10, 12/18/11 and 12/18/12.

2. These stock options vested and became exercisable over a three-year period, with one-third (1/3) of the total 4,000 options granted exercisable on each of 5/13/11, 5/13/12 and 5/13/13.

/s/ Gregory Volovic   09/26/2017

** Signature of Reporting Person   Date