Common Stock

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| l | OMB APPRO | VAL | | | | | | | |
|---|--------------------------|-----------|--|--|--|--|--|--|--|
| | OMB Number: | 3235-0287 | | | | | | | |
| l | Estimated average burden | | | | | | | | |
| l | hours per response: | 0.5 | | | | | | | |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| | | | | 0 , | | (Check all applicable) | | | | | | | |
|--|---|---|--|--|---|--|---|--|---|--|--|--|--|
| - | | | | | X | Director | 10% (| Owner | | | | | |
| | | nsaction | ı (Mon | nth/Day/Year) | X | X Officer (give title below) Other (specify below) Chairman & CEO | | | | | | | |
| 4. | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person | | | | | | |
| | | | | | | | Form filed by Mo Person | re than One Rep | oorting | | | | |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | | | | | |
| 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | | | | (D) (Instr | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | | | |
| | 3. 10 4. Von-Derivative 2. Transaction Date | 3. Date of Earliest Tra 10/08/2018 4. If Amendment, Date 2. Transaction Date (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) | 3. Date of Earliest Transaction 10/08/2018 4. If Amendment, Date of Orig 4. If Amendment, Date of Orig 2. Transaction Date (Month/Day/Year) 2. A. Deemed Execution Date, if any (Month/Day/Year) 3. Transaction Date (Month/Day/Year) | 3. Date of Earliest Transaction (Mor 10/08/2018 4. If Amendment, Date of Original F Non-Derivative Securities Acquired, Date (Month/Day/Year) 2. Transaction Date if any (Month/Day/Year) 3. Transaction Code (Instr. 8) | 3. Date of Earliest Transaction (Month/Day/Year) 10/08/2018 4. If Amendment, Date of Original Filed (Month/Day/Year) 4. If Amendment, Date of Original Filed (Month/Day/Year) 2. Transaction Date (Month/Day/Year) 2. Transaction Date (Month/Day/Year) 3. Transaction Code (Instr. 8) | HURCO COMPANIES INC [HURC] 3. Date of Earliest Transaction (Month/Day/Year) 10/08/2018 4. If Amendment, Date of Original Filed (Month/Day/Year) 4. If Amendment, Date of Original Filed (Month/Day/Year) 2. Transaction Date (Month/Day/Year) 2. Transaction Code (Instr. 8) 4. Securities Acquired Disposed Of (D) (Instr. 8) | HURCO COMPANIES INC [HURC] (Che X X X X X X X X X X | HURCO COMPANIES INC [HURC] 3. Date of Earliest Transaction (Month/Day/Year) 10/08/2018 4. If Amendment, Date of Original Filed (Month/Day/Year) 4. If Amendment, Date of Original Filed (Month/Day/Year) 4. If Amendment, Date of Original Filed (Month/Day/Year) 5. Individual or Joint/Group Line) X Form filed by On-Form filed by Monerson Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 2. Transaction Date (Month/Day/Year) 2. Transaction Date (Month/Day/Year) 3. Transaction Date (Month/Day/Year) 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) Securities Beneficially Owned Following Reported Transaction(s) | HURCO COMPANIES INC [HURC] 3. Date of Earliest Transaction (Month/Day/Year) 10/08/2018 4. If Amendment, Date of Original Filed (Month/Day/Year) 4. If Amendment, Date of Original Filed (Month/Day/Year) 4. If Amendment, Date of Original Filed (Month/Day/Year) 5. Individual or Joint/Group Filing (Check A Line) X Form filed by One Reporting Person Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 2. Transaction Date (Month/Day/Year) 2. Transaction Date (Month/Day/Year) 3. Date of Earliest Transaction (Month/Day/Year) 4. Securities Acquired (A) or Date (D) (Instr. 3, 4 and 5) Code (Instr. 3) 4. Securities Acquired (A) or Date (D) (Instr. 3, 4 and 5) Code (Instr. 3) Code (Instr. 4) Code (Instr. 4) Code (Instr. 4) Code (Instr. 4) | | | | |

M

S

M

S

450

450

4.922

4,422

500

D

Α

D

D

\$18.13

\$44.9919(1)

\$18 13

\$44.5076(2)

\$45.194(3)

95,733

95,283

100,205

95,783

95,283

D

D

D

D

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| l | | | (e.g., | puis, | Calls | , wa | uranı | s, options | , converti | nie Sect | iriues) | | | | |
|---|---|--|---|------------------------------|-------|------|-------|--|--------------------|--|--|---|--|--|--|
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transa Code (8) | | n of | | 6. Date Exerc Expiration Day (Month/Day/ | ate | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | |
| Stock Option (right to buy) | \$18.13 | 10/08/2018 | | M | | | 450 | (4) | 05/13/2020 | Common Stock | 450 | \$0 | 9,550 | D | |
| Stock Option (right to buy) | \$18.13 | 10/09/2018 | | M | | | 4,922 | (4) | 05/13/2020 | Common Stock | 4,922 | \$0 | 4,628 | D | |

Explanation of Responses:

- 1. Represents the sale of 450 shares in multiple transactions, ranging in price from \$44.92 to \$45.10 per share, resulting in a weighted average sale price of \$44.9919. The reporting person will provide, upon request by the SEC staff, the Issuer or a security holder of the Issuer, full information regarding the number of shares sold at each price within the range
- 2. Represents the sale of 4,422 shares in multiple transactions, ranging in price from \$44.17 to \$45.005 per share, resulting in a weighted average sale price of \$44.5076. The reporting person will provide, upon request by the SEC staff, the Issuer or a security holder of the Issuer, full information regarding the number of shares sold at each price within the range.
- 3. Represents the sale of 500 shares in multiple transactions, ranging in price from \$45.18 to \$45.25 per share, resulting in a weighted average sale price of \$45.194. The reporting person will provide, upon request by the SEC staff, the Issuer or a security holder of the Issuer, full information regarding the number of shares sold at each price within the range.
- 4. Option vested in thirds on each of May 13, 2011, May 13, 2012 and May 13, 2013.

/s/ Michael Doar

10/10/2018

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

10/08/2018

10/08/2018

10/09/2018

10/09/2018

10/09/2018

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.